

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Rec'd
12/8/98*

Applicants: QINGYUN LIU ET AL.

Serial No. 09/081,737

Filed: May 19, 1998

For: HUMAN UNCOUPLING PROTEIN 3

Art Unit: 1652Examiner: PETER TUNGAssistant Commissioner for Patents
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97

Sir:

1. Applicant(s) submit(s) on the attached PTO-1449 herewith a list of patents, publications or other information of which they are aware, which they believe may be pertinent to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

3. If the captioned case is a continuing application of an earlier filed parent application, the Examiner is respectfully requested to refer to any art cited to the earlier filed parent application. If this is inconvenient, additional copies will be submitted upon request.

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INFORMATION DISCLOSURE STATEMENT

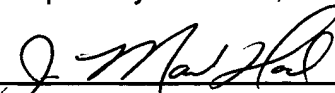
4. Copies of the following references listed on PTO-1449 are not enclosed because they have been submitted in a related application as follows:

RELATED APPLICATION			
REFERENCE	S N	FILING DATE	MERCK CASE

5. In accordance with 37 C.F.R. 1.97, (check one)

- ☐ the attached information is filed within three months of the filing date of the captioned case.
- ☒ the attached information is filed more than three months after the filing date but prior to a first Official Action on the merits.
- ☐ the attached information is being filed more than three months after the filing date and after receipt of the first Office Action on the merits, but before Final Action or Notice of Allowance. The enclosed authorization is therefore given to charge Deposit Account No. 13-2755 for the fee required under 37 C.F.R. 1.17(p).
- ☐ the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ the undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated under 37 C.F.R. 1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,



By: J. Mark Hand

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Date: December 4, 1998